

FORM PTO-1390
(REV 5-93)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTORNEY DOCKET NO.
108907-00025TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

DATE: January 18, 2002

U.S. APPLN. NO.
(IF KNOWN, SEE 37 C.F.R. 1.5)**10/031412**INTERNATIONAL APPLICATION NO.
PCT/EP00/07222INTERNATIONAL FILING DATE
27 July 2000PRIORITY DATE CLAIMED
4 August 1999

TITLE OF INVENTION: PROCESS FOR THE PREPARATION OF NAPROXENE NITROXYALKYLESTERS

APPLICANT(S) FOR DO/EO/US: Francesca BENEDINI; Erminio OLDANI; Graziano CASTALDI; and Antonio TARQUINI

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
(THE BASIC FILING FEE IS ATTACHED)
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English [35 U.S.C. 371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
9. ☒ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].

Items 11-16 below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: CHECK NO. 333112; copy of Form PCT/ISA/210; Copy of Form PCT/IPEA/416; Copy of Form PCT/IPEA/409; Marked-up Copy of Amended Claims

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

BENEDINI et al

Group Art Unit: Not yet assigned

International Application No.: PCT/EP00/07222

Examiner: Not yet assigned

Filed: January 18, 2002

Attorney Dkt. No.: 108907-00025

For: PROCESS FOR THE PREPARATION OF NAPROXENE NITROXYALKYLESTERS

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

January 18, 2002

Sir:

Prior to calculation of the filing fees and initial examination of the application, please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend claims 3-5 as follows. A copy of the marked up original claims is attached to this response showing the changes as set forth in amended 37 CFR 1.121.

3. (Amended) A process according to claim 1, wherein the inorganic bases are hydroxides, oxides, carbonates and bicarbonates, silicates, aluminosilicates of the alkaline and alkaline-earth metals, or hydroxides, oxides, carbonates and bicarbonates of metals belonging to the group IIB, preferably zinc, or to groups IIIa or IVa, preferably tin.

4. (Amended) A process according to claim 1, wherein the inorganic base amount is in molar ratio with the acid halide amount in the range 1-2, preferably 1.2-1.5.


5. (Amended) A process according to claim 1, wherein the reaction is carried out at a temperature in the range -20°C and 50°C, preferably 0°C and 20°C.

REMARKS

Claims 1-5 are pending in this application. By this Amendment, claims 3-5 are amended to correct the multiple dependencies thereof and to place this application into better condition for examination. No new matter has been added.

In the event that there are any fees due with respect to the filing of this paper, please charge Deposit Account No. 01-2300.

Respectfully submitted,



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Enclosure: Marked-up Copy of Amended Claims

MARKED-UP COPY OF AMENDED CLAIMS
ATTY. DOCKET NO. 108907-00025

3. (Amended) A process according to [claims 1 and 2] claim 1, wherein the inorganic bases are hydroxides, oxides, carbonates and bicarbonates, silicates, aluminosilicates of the alkaline and alkaline-earth metals, or hydroxides, oxides, carbonates and bicarbonates of metals belonging to the group IIB, preferably zinc, or to groups IIIa or Iva, preferably tin.

4. (Amended) A process according to [claims 1-3] claim 1, wherein the inorganic base amount is in molar ratio with the acid halide amount in the range 1-2, preferably 1.2-1.5.

5. (Amended) A process according to [claims 1-4] claim 1, wherein the reaction is carried out at a temperature in the range -20°C and 50°C, preferably 0°C and 20°C.

100344-01303

PROCESS FOR THE PREPARATION OF NAPROXENE NITROXYALKYLESTERS

* * * * *

The present invention relates to a new method for preparing nitroxyalkylesters of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid (naproxene) having an enantiomeric excess of the (S) form higher than or equal to 97%, preferably higher than or equal to 98%, combined with high yields, higher than 75-80%, preferably higher than 85%.

It is well known in the prior art that the enantiomeric form (S) is the active form from the pharmacological point of view of the above mentioned product.

In the prior art synthesis methods of nitroxyalkylesters of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid, are known. In the patent application WO 98/25,918, a synthesis method of naproxene nitroxyalkyl esters containing in the alkyl chain a saturated C₃-C₈ cycloalkyl residue, is described. In said process the acid or one of its functional derivatives, for example, chloride or anhydride, is reacted, in an inert organic solvent, with a nitroalkanol containing a cycloalkyl residue as above defined. The reaction takes place in the presence of an organic nitrogenated base, such as for example 4-dimethyl aminopyridine, morpholine, N-methyl morpholine or triethylamine. Tests carried out by the Applicant have shown

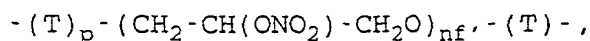
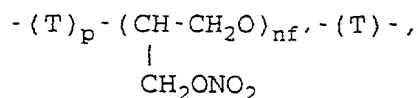
that this process of the prior art does not allow to obtain naproxene nitroxyalkylesters having an enantiomeric excess in the range of 55-80%, only with a specific organic base, 4-N,N-dimethylamino pyridine, 94% is obtained.

The need was therefore felt to obtain naproxene nitroxyalkylesters having an higher enantiomeric excess, at least of 97%, preferably equal to or higher than 98%.

An object of the present invention is a process to obtain nitroxyalkylesters of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid having an enantiomeric excess higher than or equal to 97%, preferably higher than or equal to 98%, characterized in that an halide of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid of formula A-Hal, wherein A is the acyclic residue of said acid, is reacted in an inert organic solvent with an aliphatic nitroxyalkanol HO-Y-ONO₂, wherein Y has one of the following meanings:

- a linear or optionally branched C₁-C₂₀, preferably C₂-C₅, alkylene;
- a cycloalkylene with ring from 3 to 8 carbon atoms, preferably from 5 to 7 carbon atoms, said cycloalkylene optionally can be substituted with one or two alkylenes as above defined, and/or with one or more alkyl radicals having in the chain a number of carbon atoms as above defined for alkylene;
- an aromatic residue with ring having 5 or 6 carbon atoms,

said aromatic residue optionally can be substituted with one or two alkylenes as above defined, and/or with one or more alkyl radicals having in the chain a number of carbon atoms as above defined for alkylene, or a -COOH group;



T being alkylene as above defined and p an integer equal to zero or one, alkylene having the above mentioned meaning, nf' is an integer from 1 to 6, preferably from 1 to 4; in the presence of an inorganic base, to give the corresponding nitroxyalkylester of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid of formula A-O-Y-ONO₂, wherein A and Y are as above defined.

Y can also be a combination of two or more of the mentioned group.

The aliphatic nitroxylalcohol amount on molar basis is in the range 1-2, preferably 1.2-1.5, with respect to that of the acid halide.

With inorganic bases hydroxides, oxides, carbonates and bicarbonates, silicates, aluminosilicates of the alkaline and alkaline-earth metals, or hydroxides, oxides, carbonates and bicarbonates of metals belonging to the group IIB, preferably zinc, or to groups IIIa or IVa, preferably tin, are meant.

The inorganic base amount is in molar ratio with the acid

halide amount generally in the range 1-2, preferably 1.2-1.5.

With inert organic solvent according to the present invention aromatic hydrocarbons are meant, such as for example toluene and xylene, chlorinated or fluorinated organic solvents, for example methylene chloride, chlorobenzene, aliphatic esters for example C₁-C₄ acids esters with C₁-C₅ alcohols such as for example ethyl acetate and butyl acetate, etc.

The solvent amount is not critical and generally from 1 to 10 volumes of solvent are used, preferably from 2 to 5 volumes based on the acid halide weight.

The reaction is carried out at a temperature in the range -20°C and 50°C, preferably 0°C and 20°C.

The nitroxyalkylesters of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid are recovered at the end of the reaction, after addition of water to the organic phase, separation of the phases and solvent evaporation. If necessary, a further purification can be carried out by chromatography on silica gel column in order to increase the product titre.

Alternatively, the compound can also be purified by crystallization from a suitable solvent.

Aliphatic nitroxyalcohols can be prepared according to the known methods in the prior art. See for example Gazzetta Chim. It. 1987, 117, 173 and WO 98/25,918.

The Applicant has found that surprisingly by the use of

inorganic bases it is possible to improve the enantiomeric excess of naproxene nitroxyalkylesters with respect to the prior art methods, which use, as seen, organic bases, with high yields as above mentioned.

The following examples have the purpose to illustrate the invention and they are not to be intended as limitative thereof.

EXAMPLE 1 (comparative)

Preparation of 4-nitroxybutyl ester of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid according to WO 98/25918

A mixture of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid (0.32 g, 1.4 mmol), 4-N,N-dimethylamino pyridine (16 mg, 0.13 mmol), 4-nitroxybutan-1-ol (0.34 g, 2.5 mmol) in dichloromethane (6 ml) at a temperature in the range 0°C-5°C is added, under stirring, to a solution of N,N'-dicyclohexylcarbodiimide (0.29 g, 1.4 mmol) in dichloromethane (6 ml). The mixture is left under stirring at the same temperature for 3 hours and then dried by solvent evaporation under vacuum. The residue is purified by chromatography on silica gel column (eluent dichloromethane) to give the 4-nitroxybutyl ester of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid (0.41 g, 1.19 mmol), yield 85% in the form of an oil. HPLC purity: 98%.

^1H NMR(CDCl₃) δ (ppm): 1.59 (d, 3H, J=7.5 Hz); 1.65 (m, 4H); 3.85 (q, 1H, J=7.5 Hz); 3.91 (m, 2H); 4.10 (m, 2H); 7.1-7.7

(m, aromatic, 8H).

Enantiomeric excess: 94%.

EXAMPLE 2

To a solution of 4-nitroxybutan-1-ol (2.0 g; 14.8 mmol) in dichloromethane (20 ml), cooled at 0°C-5°C, potassium carbonate (3.21 g, 23.2 mmol) is added under stirring.

To the mixture a solution of 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid chloride (3.86 g, 15.5 mmol; enantiomeric excess 98%) in dichloromethane (22 ml) is added, maintaining the temperature in the range 10°C-15°C. When the addition is over the temperature is increased and maintained for 10 hours at a value in the range 15°C-20°C and then the solution is filtered. The solvent is evaporated under vacuum. The residue is purified by chromatography on silica gel column (eluent dichloromethane) to give the 4-nitroxybutyl ester of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid (4.4 g, 12.6 mmol, yield 85%) in the form of an oil. HPLC purity: 99%.

¹H NMR(CDCl₃) δ (ppm): 1.59 (d, 3H, J=7.5 Hz); 1.65 (m, 4H); 3.85 (q, 1H, J=7.5 Hz); 3.91 (m, 2H); 4.10 (m, 2H); 7.1-7.7 (m, aromatic, 8H).

Enantiomeric excess: 98%.

EXAMPLE 3

Example 2 is repeated using toluene as solvent. The nitroxyester yield is 76%, the (HPLC) purity > 99%. The enantiomeric excess is equal to 98%.

EXAMPLE 4

Example 2 is repeated but using as a base calcium carbonate. 4.6 g, equal to 13.3 mmols of nitroxyester (yield 90%) are obtained, HPLC purity >99%, enantiomeric excess 98%.

EXAMPLE 5

Example 2 is repeated but using as a base calcium aluminosilicate. 4.6 g, equal to 13.3 mmols of nitroxyester (yield 90%) are obtained, HPLC purity >99%, enantiomeric excess 98%.

EXAMPLE 6

To a solution of 4-nitroxybutan-1-ol (2.0 g; 14.8 mmols) in dichloromethane (20 ml), cooled at a temperature in the range 0°C-5°C, potassium carbonate (3.21 g, 23.2 mmols) is added under stirring.

To the mixture a solution of 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid chloride (3.86 g, 15.5 mmols, enantiomeric excess 98%) in dichloromethane (22 ml) is added, maintaining the temperature in the range 10°C-15°C. When the addition is over, the temperature is increased to a value in the range 15°C-20°C for 10 hours and then the solution is filtered. Water (1 ml) and N,N-dimethylformamide (2 ml) are added to the solution and left under stirring at room temperature for 3 hours. At the end the organic phase is separated, washed with water and filtered through a potassium carbonate panel. The solvent is evaporated under vacuum and 4.1 g, equivalent to 11.8 mmols of ester (yield 80%) in the form of an oil, are

obtained, HPLC purity >99%, enantiomeric excess 98%.

EXAMPLE 7 (comparative)

Example 2 is repeated but using as a base triethylamine. The obtained mixture after the reaction is analyzed to evaluate the enantiomeric excess, which results equal to 80%.

EXAMPLE 8 (comparative)

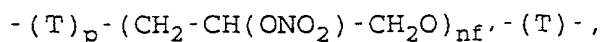
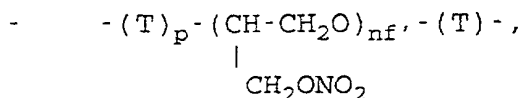
Example 2 is repeated but using as a base diisopropylethylamine. The mixture obtained after the reaction is analyzed to evaluate the enantiomeric excess, which results equal to 76%.

EXAMPLE 9 (comparative)

Example 2 is repeated but using as a base N-methylmorpholine. The mixture obtained after the reaction is analyzed to evaluate the enantiomeric excess, which results equal to 56%.

CLAIMS

1. A process for obtaining nitroxyalkylesters of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid having an enantiomeric excess higher than or equal to 97%, preferably higher than or equal to 98%, characterized in that an halide of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid of formula A-Hal, wherein A is the acyl residue of the acid, is let react in an inert organic solvent with an aliphatic nitroxyalkanol HO-Y-ONO₂, wherein Y has one of the following meanings:
- a linear or optionally branched C₁-C₂₀, preferably C₂-C₅, alkylene, or
 - a cycloalkylene with ring from 3 to 8 carbon atoms, preferably from 5 to 7 carbon atoms, said cycloalkylene optionally substituted with one or two alkylenes as above defined, and/or with one or more alkyl radicals having in the chain a number of carbon atoms as above defined for alkylene;
 - an aromatic residue with ring having 5 or 6 carbon atoms, said aromatic residue optionally substituted with one or two alkylenes as above defined, and/or with one or more alkyl radicals having in the chain a number of carbon atoms as above defined for alkylene, or a -COOH group;



T being alkylene as above defined and p an integer equal to zero or one, alkylene having the above mentioned meaning, nf' is an integer from 1 to 6, preferably from 1 to 4;

in the presence of an inorganic base, to give the corresponding nitroxyalkylester of the 2-(S)-(6-methoxy-2-naphthyl)-propanoic acid of formula A-O-Y-ONO₂, wherein A and Y are as above defined.

2. A process according to claim 1, wherein the aliphatic nitroxyalcohol amount on molar basis is in the range 1-2, preferably 1.2-1.5, with respect to that of the acid halide.
3. A process according to claims 1 and 2, wherein the inorganic bases are hydroxides, oxides, carbonates and bicarbonates, silicates, aluminosilicates of the alkaline and alkaline-earth metals, or hydroxides, oxides, carbonates and bicarbonates of metals belonging to the group IIB, preferably zinc, or to groups IIIa or IVa, preferably tin.
4. A process according to claims 1-3, wherein the inorganic base amount is in molar ratio with the acid halide amount in the range 1-2, preferably 1.2-1.5.

5. A process according to claims 1-4, wherein the reaction is carried out at a temperature in the range -20°C and 50°C , preferably 0°C and 20°C .

209110-011501
1003443-011501

Docket No. _____

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC

Nikaido, Marmelstein, Murray & Oram Intellectual Property Group

Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

(Insert Title) PROCESS FOR THE PREPARATION OF NAPROXENE.NITROXYALKYLESTERS.

the specification of which is attached hereto unless the following box is checked:

☐ was filed on July 27, 2000 As PCT International Application
Number PCT/EP00/07222 and was amended on _____
And/or was filed on _____ As United States Application
Number _____ and was amended on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

	<u>MI99A001753</u>	<u>ITALY</u>	<u>August 04, 1999</u>	Priority Claimed
(List prior foreign applications)	(Number)	(Country)	(Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)
(Application Number)	(Filing Date)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35, U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

And I hereby appoint the firm of Arent Fox, Customer Number 004372 including as principal attorneys: Robert B. Murray, Reg. No. 22,980; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Douglas H. Goldhush, Reg. No. 33,125; David T. Nikaido, Reg. No. 22,663; Richard J. Berman, Reg. No. 39,107; Murat Ozgu, Reg. No. 44,275; Robert K. Carpenter, Reg. No. 34,794; Gregory B. Kang, Reg. No. 45,273; Rustan Hill, Reg. No. 37,351; Kevin Turner, Reg. No. 43,437; Carl Schaukowitch, Reg. No. 29,211; Hans J. Crosby, Reg. No. 44,634, and Brian A. Tollefson, Reg. No. 46,338.

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The undersigned hereby authorizes the U.S. attorneys named herein to accept and follow instructions from the undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-8
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Full name of sole or fifth inventor _____
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
Post Office Address _____

Full name of sole or sixth inventor _____
Inventor's signature _____ Date _____
Residence _____
Citizenship _____
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